

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSENDER FOR PATENTS PO Box 1430 Alexandria, Virginia 22313-1450 www.upote.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/753,090	01/06/2004	Henry C. Yuen	GS-66 Cont. 2	2736
75563 7590 94/02/2009 ROPES & GRAY LLP PATENT DOCKETING 39/361			EXAMINER	
			GRAHAM, PAUL J	
1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036-8704			ART UNIT	PAPER NUMBER
			2426	
			MAIL DATE	DELIVERY MODE
			04/02/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s) 10/753.090 YUEN ET AL. Office Action Summary Examiner Art Unit PAUL GRAHAM 2426 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 02 February 2009. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 2-5.8-11 and 14-25 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 2-5, 8-11, 14-25 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

Paper No(s)/Mail Date 7/31/08, 8/29/08, 2/02/09.

Paper No(s)/Mail Date.

6) Other:

Notice of Informal Patent Application

Art Unit: 2426

DETAILED ACTION

Information Disclosure Statement

The references listed on the Information Disclosure statements filed 7/31/08, 8/29/08, 2/02/09 have been considered by examiner (see attached PTO-1449).

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.

Applicant's submission filed on 2/2/09 has been entered.

Response to Arguments

Applicant argues:

Strubbe does not suggest retrieving stored video clip associated

The Examiner respectfully disagrees. Strubbe does teach retrieving stored video clip(s), see fig. 1 and 2, controller aids in retrieval of clip data stored in memory. With respect to argument that applicant's definition of video clip is misconstrued; the video clip (or alternatively known as "previews") is rejected in the context of the claim and any support found in the instant disclosure. The "definition"

Art Unit: 2426

notwithstanding, such display as illustrated in the prior art references still represent previews as in the instant specification.

Strubbe does not teach a video clip corresponding to a program scheduled for future broadcast and said clip is not displayed upon selection of listing from quide.

The Examiner respectfully disagrees. Reading the claims in the broadest sense, Strubbe does teach a video clip corresponding to a program scheduled for future broadcast and said clip is not displayed upon selection of listing from guide. Applicant is reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988, F.2d 1181,26 USPQ2d 1057 (Fed. Cir. 1993). The program is selected from Future programs for recording or selecting ("to be recorded, if not watched", Strubbe, col. 5, II. 55-67). And, the program is displayed upon selection of the listing from the guide, (see Strubbe, col. 5, II. 1-20).

With respect to the argument that broadcast programming is not stored; this is a moot point given that it is not claimed.

Any such portion of broadcast programming is simply not a video clip corresponding to a program.

The Examiner respectfully disagrees. A video clip is shown to be a preview of a TV program in [0015] of the instant application. The visual message

Art Unit: 2426

detailed in Strubbe (see col. 6, II. 25-50) is exactly that, a preview of a future TV programming before viewing commences and therefore reads upon the limitation.

Strubbe's reminder message for a particular program is activated by pressing a "Channel B" button...

The Examiner respectfully disagrees with the Applicant's analysis of the Strubbe invention. There is no "navigating away" from the listings. There is an identification function for the toggle feature of the reminder clip, which is not relevant if the future broadcast program has been selected for viewing or recording (see Strubbe, col. 5, I. 20-col. 6, I.25).

Strubbe's "frozen" PIP representation of a scene does not suggest or show displaying a video clip upon selection of a listing...

The Examiner respectfully disagrees. As noted in the Applicant's remarks, 4/4/08, page 14, the frozen clip is a PIP representation (as is the video clip as defined in instant application [0015]). As noted this is an additional means to identify a particular program (future program) from the listing (See Strubbe, col. 5, II. 20-36). Therefore, reading on the claim limitations.

Ullrich does not show or suggest "displaying a video clip upon selection..."

The Examiner respectfully disagrees. Ullrich does suggest "displaying a video clip upon selection..." (see Ullrich, col. 6, I. 25-col. 8, I. 49). In fact, that is what his illustration of exhibitions of programs represents (see Ullrich, col. 8, II. 34-49).

With respect to the argument that Ullrich does not suggest a stored video clip associated with a selected program, Ullrich does note retrieving the clip (a promo, see Ullrich, col. 4, II. 6-61, video server retrieves stored clip), the promo is definitely associated with the listing as selected (whether a program or channel, the viewer has selected to view that content display).

With respect to the argument that Ullrich does not suggest user selection of program listing; Ullrich certainly suggests and teaches that a user selects a program (or channel) and therefore the clips that go with that are selected (see Ullrich, col. 3, II, 24-33).

Ullrich is silent on exactly when and how the ordered program is displayed.

The Examiner respectfully disagrees. The Ullrich exposition on ordering a program (e.g., see beginning of explanation on Ullrich, col. 6, II. 23-30) does show how the program is displayed, there is preview. Therefore, the Ullrich invention does read on the claimed instant invention. The argument seems moot, given that it is unclear how this is claimed.

With respect to the new claims, these claims, being similar to those claims listed before them, have been rejected with a combination of Ullrich and Strubbe as noted below.

Art Unit: 2426

The Applicant's arguments have been fully considered and are not persuasive. Claims 2-5. 8-11. 14-25 remain rejected.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim18 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

New claim 18 recites: "...for superimposing an on-screen cursor...". There is no support in the instant specification for the superimposition alleged in the claim language.

Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:
 A person shall be entitled to a patent unless –

Art Unit: 2426

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filted in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filted in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 35(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claims 2-5, 8-11 are rejected under 35 U.S.C. 102(b) as being anticipated by Strubbe et al. (US 5 047 867).

As to claim 2, Strubbe discloses a system for displaying a video clip for a television program upon viewer selection of a corresponding television program listing from a television program guide displayed on a display screen, comprising (see Strubbe, col. 3, I. 30-col. 5, I. 40):

means for storing video clips (see Strubbe, fig. 1, memory, col. 3, II. 6-13);

means for associating the stored video clips with television program listings (see Strubbe, fig. 13f, col. 9, l. 55-col. 10, l. 15);

means for displaying the television program guide on the display screen (see Strubbe, col. 3, II. 24-45 and col. 4, II. 5-11, and figs 10-12, 14), wherein the television program guide comprises some of the television program listings (see Strubbe, figs 6, and figs. 8);

means for allowing a viewer to select one of the television program listing from the television program guide, the selected television program listing corresponding to a program that is scheduled for future broadcast (see Strubbe, col. 4, II. 39-46 and col. 7, I. 63-col. 8, I. 7, note the remote control of fig. 3 and controller of fig. 2, reading the claims in the broadest sense, Strubbe does teach

Art Unit: 2426

a video clip corresponding to a program scheduled for future broadcast and said clip is not displayed upon selection of listing from guide. Applicant is reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988, F.2d 1181,26 USPQ2d 1057 (Fed. Cir. 1993). The program is selected from Future programs for recording or selecting ("to be recorded, if not watched", Strubbe, col. 5, II. 55-67). And, the program is displayed upon selection of the listing from the guide, (see Strubbe, col. 5, II. 1-20),

upon selection of the television program listing, means for retrieving the stored video clip associated with the selected television program listing (see Strubbe, col. 5, II. 30-36 and col. 6, II. 42-49 and fig. 3, PIP button on remote, fig. 6 PIP on screen)

The visual message detailed in Strubbe (see col. 6, II. 25-50) is exactly that, a preview of a future TV programming before viewing commences and therefore reads upon the limitation.

the frozen clip is a PIP representation (as is the video clip as defined in instant application [0015]). As noted this is an additional means to identify a particular program (future program) from the listing (See Strubbe, col. 5, Il. 20-36). Therefore, reading on the claim limitations); and

means for displaying the retrieved video clip on the display screen (see Strubbe, col. 5, II. 30-36 and col. 6, II. 45-50, the clip will be retrieved to be displayed, see fig. 1 and 2, reading the claims in the broadest sense, Strubbe

Art Unit: 2426

does teach a video clip corresponding to a program scheduled for future broadcast and said clip is not displayed upon selection of listing from guide. Applicant is reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988, F.2d 1181,26 USPQ2d 1057 (Fed. Cir. 1993). The program is selected from Future programs for recording or selecting ("to be recorded, if not watched", Strubbe, col. 5, II. 55-67). And, the program is displayed upon selection of the listing from the guide, (see Strubbe, col. 5, II. 1-20).

The visual message detailed in Strubbe (see col. 6, II. 25-50) is exactly that, a preview of a future TV programming before viewing commences and therefore reads upon the limitation.

the frozen clip is a PIP representation (as is the video clip as defined in instant application [0015]). As noted this is an additional means to identify a particular program (future program) from the listing (See Strubbe, col. 5, II. 20-36). Therefore, reading on the claim limitations).

As to claim 3, Strubbe discloses the system of claim 2 further comprising means for displaying a written description corresponding to the selected television program listing (see figs. 6 and col. 5, II. 20-33).

As to claim 4, Strubbe discloses the system of claim 2 further comprising means for sequencing through time slots in the television program guide (see figs. 8 and col. 6, Il. 25-37).

Art Unit: 2426

As to claim 5, Strubbe discloses the system of claim 2 wherein the means for allowing a user to select a television program listing from the television program guide comprises means for allowing the user to position an on-screen cursor on the television program listing (see Strubbe, figs. 6 and col. 5, II. 40-47, toggling segment highlighted is "cursor" action).

As to claims 8-11, they are analyzed similarly to claims 2-5.

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 2-5, 8-10, 14-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ullrich et al. (US 5 583 937) and Strubbe et al. (US 5 047 867).

As to claim 2, Ulfrich discloses a system for displaying a video clip for a television program upon viewer selection of a corresponding television program listing from a television program guide displayed on a display screen, comprising (see Ulfrich, fig. 1):

means for displaying the television program guide on the display screen (see Ulfrich, fig. 1, within 36 is a TV, col. 5 ll. 4-9);

wherein the television program guide comprises some of the television program listings (see Strubbe, figs 6, and figs. 8);

Art Unit: 2426

means for allowing a viewer to select one of the selected television program listing from the television program guide (see Ullrich, figs. 3 and 4, and col. 6, Il. 23-30, , note the remote control of fig. 3 and controller of fig. 2)); and

means for displaying the retrieved video clip on the display screen (see Ullrich, figs. 3 and 4, and col. 6, II. 23-30, upon selection of the television program listing, means for retrieving the stored video clip associated with the selected television program listing (see Strubbe, col. 5, II. 30-36 and col. 6, II. 42-49 and fig. 3, PIP button on remote, fig. 6 PIP on screen) the clip will be retrieved to be displayed, see fig. 1 and 2);

Ullrich does suggest " displaying a video clip upon selection..." (see Ullrich, col. 6, I. 25-col. 8, I.
49). In fact, that is what his illustration of exhibitions of programs represents (see Ullrich, col. 8, II.
34-49);

however, the Ullrich reference is further supported with the combination of the Strubbe reference. Strubbe, who teaches an interface for a TV system does teach a displaying of video clip upon selection of a program from a guide listing:

means for allowing a viewer to select a television program listing from the television program guide, the television program listing corresponding to a program that is scheduled for future broadcast (see Strubbe, col. 4, II. 39-46 and col. 7, I. 63-col. 8, I. 7, Reading the claims in the broadest sense, Strubbe does teach a video clip corresponding to a program scheduled for future broadcast

Art Unit: 2426

and said clip is not displayed upon selection of listing from guide. Applicant is reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988, F.2d 1181,26 USPQ2d 1057 (Fed. Cir. 1993). The program is selected from Future programs for recording or selecting ("to be recorded, if not watched", Strubbe, col. 5, II. 55-67). And, the program is displayed upon selection of the listing from the guide, (see Strubbe, col. 5, II. 1-20).

The visual message detailed in Strubbe (see col. 6, II. 25-50) is exactly that, a preview of a future TV programming before viewing commences and therefore reads upon the limitation.

the frozen clip is a PIP representation (as is the video clip as defined in

instant application [0015]). As noted this is an additional means to identify a particular program (future program) from the listing (See Strubbe, col. 5, II. 20-36). Therefore, reading on the claim limitations); and means for displaying a video clip on the display screen upon selection of the television program listing from the television program guide (see Strubbe, col. 5, II. 30-36 and col. 6, II. 45-50, Reading the claims in the broadest sense, Strubbe does teach a video clip corresponding to a program scheduled for future broadcast and said clip is not displayed upon selection of listing from guide. Applicant is reminded that although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See In re Van Geuns. 988, F.2d 1181.26 USPO2d 1057 (Fed. Cir. 1993). The

Art Unit: 2426

program is selected from Future programs for recording or selecting ("to be recorded, if not watched", Strubbe, col. 5, II. 55-67). And, the program is displayed upon selection of the listing from the guide, (see Strubbe, col. 5, II. 1-20).

The visual message detailed in Strubbe (see col. 6, II. 25-50) is exactly that, a preview of a future TV programming before viewing commences and therefore reads upon the limitation.

the frozen clip is a PIP representation (as is the video clip as defined in instant application [0015]). As noted this is an additional means to identify a particular program (future program) from the listing (See Strubbe, col. 5, II. 20-36). Therefore, reading on the claim limitations).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system of Ultrich with the system of Strubbe in order to present to the user future programming with the option to act on said programming (e.g., watch or record) as well as allow the viewer a visual indicator of the programming content (see Strubbe, fig. 6-8) (see Strubbe, col. 1, II. 35-42);

means for storing video clips (see Strubbe, fig. 1, memory, col. 3, II. 6-13);

means for associating the stored video clips with television program listings (see Strubbe, fig. 13f, col. 9, I. 55-col. 10, I. 15).

As to claim 8, claim 8 is similar to claim 2 and therefore analyzed like claim 2 (see claim 2, above).

Art Unit: 2426

As to claim 3, Ullrich discloses the system of claim 2 further comprising means for displaying a written description corresponding to the selected television program listing.

Ultrich is unclear on a written description; however in view of the fact that the character generator can be used to generate any textual information and that the menu is displayed to inform the viewer.

it therefore, would have been obvious to one of ordinary skill in the art at the time the invention was made to give a brief description of the programming thereby giving the viewer a better indication of what the programming is, in order to encourage selection of the promotional video.

As to claim 4, Ullrich discloses the system of claim 2 further comprising means for sequencing through time slots in the television program guide (see Ullrich, col. 5, II. 4-8 and col. 6, II. 27-31 for menu of available programs (channels) and show times and the ordering allows choice of different programs, hence sequencing through time to preview programs is available).

As to claim 5, Strubbe discloses the system of claim 2 wherein the means for allowing a user to select a television program listing from the television program guide comprises means for allowing the user to position an on-screen cursor on the television program listing (see Strubbe, figs. 6 and col. 5, II. 40-47, toggling segment highlighted is "cursor" action).

As to claims 9, 10 and 11, they are similar to claims 3, 4 and 5, respectively.,

As to claim 14, Ullrich and Stubbe (as combined) disclose the system of claim 2 wherein the means for allowing a user to select a television program listing from the television program quide comprises means for allowing the user

Art Unit: 2426

to navigate an on-screen cursor between the television program listings (see Stubbe, fig. 1-3, col. 6, ll. 25-67, controller and remote control allows navigation).

As to claim 15, Ullrich and Stubbe (as combined) disclose the system of claim 2 further comprising means for identifying a first of the stored clips that is associated with the selected program listing see Stubbe, fig. 1-3, col. 6, ll. 25-67, controller and remote control allows navigation and identification).

As to claim 16, Ullrich and Stubbe (as combined) disclose the system of claim 2 wherein the television program listings comprise programs that are scheduled to be broadcast at a current time and programs that are scheduled to be broadcast after the current time, and wherein the selected television program listing and retrieved video clip correspond to a program that is scheduled to be broadcast after the current time (see Strubbe, figs. 10, press view to play starts presentation of program (scheduled for current time), 151 seconds til start of play is a program schedule to present after the current time).

As to claim 17, Ullrich and Stubbe (as combined) disclose the system of claim 2 further comprising means for retrieving the stored video clip associated with the selected television program listing based on the position of an on-screen cursor

in relation to the selected television program listing (see Strubbe, figs. 10, press view to play (onscreen, position indication) starts presentation of program (scheduled for current time), 151 seconds til start of play is a program schedule to present after the current time).

Page 16

As to claim 18, Ullrich and Stubbe (as combined) disclose the system of claim 2 further comprising means for superimposing an on-screen cursor on the television program listings (see Strubbe, fig. 9 shows creating a new entry for superimposition on screen in program listing).

As to claim 19, Ullrich and Stubbe (as combined) disclose the system of claim 18, wherein the on-screen cursor visually indicates selection of a particular television program listing among the television program listings (see Stubbe, fig. 4, 6 and 8, menu selection of a positional listing is indicated on screen at least with the display of corresponding program).

As to claims 20-25, they are analyzed similar to claims 14-19, respectively.

Application/Control Number: 10/753,090 Page 17

Art Unit: 2426

5:00p EST.

Inquiries

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul J. Graham whose telephone number is 571-270-1705. The examiner can normally be reached on Monday-Friday 8:00a-

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vivek Srivastava can be reached on 571-272-7304. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/753,090 Page 18

Art Unit: 2426

/VIVEK SRIVASTAVA/

Supervisory Patent Examiner, Art Unit 2426